FORM PTO-1390 (REV. 9-2001)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY 'S DOCKET NUMBER
	TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	1712470

U.S. APPLICATION NO. (if known, see 37 CFR 1.5
10/018966
Unknown

INTERNATIONAL APPLICATION NO. PCT/JP01/03335	INTERNATIONAL FILING DATE April 19, 2001	PRIORITY DATE CLAIMED April 19, 2000

TITLE OF INVENTION
Card Authenticity Judging Apparatus and Card Authenticity Judging System

APPLICANT(S) FOR DO/EO/US
HORI Nobuo, NAGANO Shigenori

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. [✓] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. [ ] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. [ ] The US has been elected by the expiration of 19 months from the priority date (Article 31).

5. [✓] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    a. [ ] is attached hereto (required only if not communicated by the International Bureau).
    b. [✓] has been communicated by the International Bureau.
    c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).

6. [✓] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
    a. [✓] is attached hereto.
    b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).

7. [ ] Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3))
    a. [ ] are attached hereto (required only if not communicated by the International Bureau).
    b. [ ] have been communicated by the International Bureau.
    c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
    d. [ ] have not been made and will not be made.

8. [ ] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).

9. [ ] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. [ ] An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. [✓] A FIRST preliminary amendment.

14. [ ] A SECOND or SUBSEQUENT preliminary amendment.

15. [ ] A substitute specification.

16. [ ] A change of power of attorney and/or address letter.

17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.

18. [ ] A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. [✓] Other items or information: Application Data Sheet
First Page of Published PCT Application
Express Mail Certificate Label No. EK288579619US

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21. ☑ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO $1040.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO $890.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO $740.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) $710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) $100.00

CALCULATIONS   PTO USE ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =	$	890	

Surcharge of $130.00 for furnishing the oath or declaration later than ☑ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)). $ 130

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	$		
Total claims	79 - 20 =	59	x $18.00	$	1,062	
Independent claims	6 - 3 =	3	x $84.00	$	252	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ $280.00	$	280	

TOTAL OF ABOVE CALCULATIONS =	$	2,614	

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   + $

SUBTOTAL =	$	

Processing fee of $130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). $

TOTAL NATIONAL FEE =	$	2,614	

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). $40.00 per property   + $

TOTAL FEES ENCLOSED =	$	2,614	

Amount to be refunded:	$
charged:	$

a. ☑ A check in the amount of $ 2,614 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of $ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
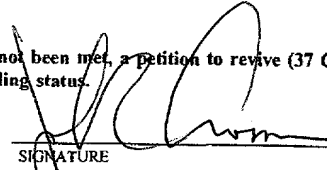
c. ☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0305 . A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

John R. Crossan
Chapman and Cutler
111 West Monroe Street
Chicago, Illinois 60603
(312) 845-3420

SIGNATURE

John R. Crossan
NAME

27,433
REGISTRATION NUMBER